

PrimeVest Financial Services, Inc.

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Annuities Made Easier

PRIMEVEST preparation for FINA conduct rule 2821

- History
- Success Factors
- Scope
 - Initial Purchase
 - Exchanges
 - Initial Allocation
- Integrations of systems
- Opportunities

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What's next?

Short Term – Online 2821 Questionnaire Resolution

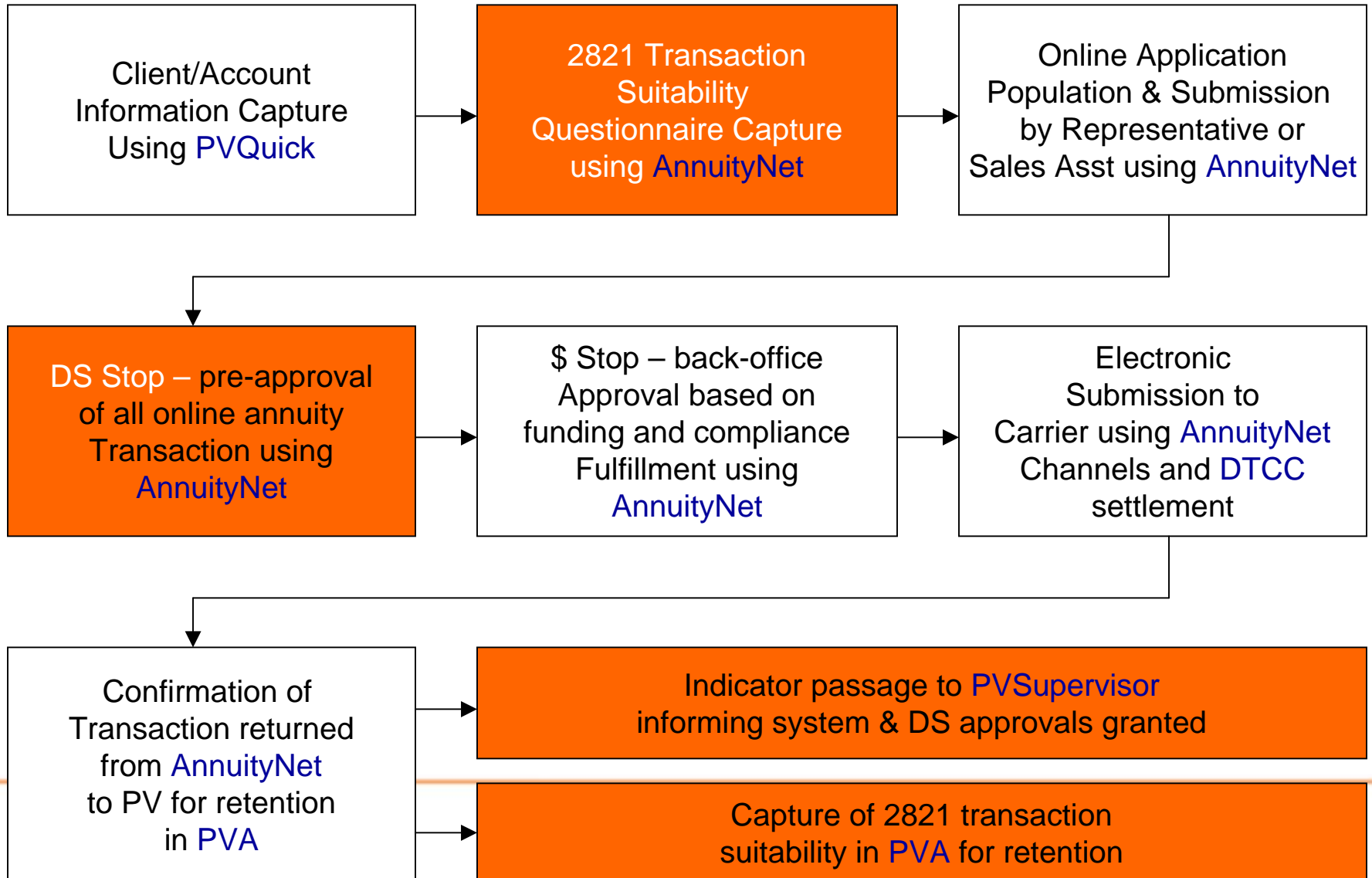
**Short Term – Pre-Transaction Online Annuity Review
and Approval**

Long Term – SunGard Reports and Approval Linkages

Long Term – Increased Reporting Functionality

- 1. VA Reallocation Reporting**
- 2. Annuity Liquidation Reporting (w/ time held)**
- 3. Reporting Criteria from 2821**
- 4. Robust Switch/Replacement Reporting**

Workflow: shaded boxes indicator adjustment to workflow



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- Advantages of automation for the registered representatives and OSJ Supervisors
- Training
- Challenges